## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NO.:

09/925,120

FILING DATE:

August 9, 2001

TITLE:

Method and System for Remote Television Replay Control

**EXAMINER:** 

Not Yet Known

GROUP ART UNIT:

Not Yet Known

ATTY. DKT. NO.:

22407-05390

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Washington, D.C. 20231, on the date shown below:

Dated: SEPTEMBER 26,2001

Bv:

Martin S.C. Loui, Reg. No.: 43,411

COMMISSIONER FOR PATENTS WASHINGTON, DC. 20231

## INFORMATION DISCLOSURE STATEMENT Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449 listing references for consideration by the Examiner. A copy is enclosed herewith of each listed reference which may be material to the examination of this application, and with respect to which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This application relies,	under 35 U.S.C. § 13	20, on the	earlier filing dat	e of prior
application Serial No.	SERIAL NUMBER	], filed on	FILING DATE	], and the

references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).

The Information Disclosure Statement submitted herewith is being filed:

$\boxtimes$	within three months of the filing date of the application, or date of entry					
	into the national stage of an international application, or before the mailing					
	date of	f a first	official action on the merits, whichever event last occurred;			
	OR					
	before	the ma	ailing of a first official action after the filing of a request for			
	continued examination (RCE) under 37 CFR § 1.114;					
	after three months of the filing date of this national application or the date					
	of entry of the national stage in an international application, or after the					
	mailing date of the first official action on the merits, whichever event last					
	occurred, but before the mailing date of the first to occur of either:					
	(1)	a fina	al action under 37 CFR §1.113; OR			
	(2)	ice of allowance under 37 CFR §1.311; AND				
		attached hereto is the fee of \$180, as set forth under 37 CFR				
		§1.17(p), for submission of this Information Disclosure Statement				
		unde	under 37 CFR.§ 1.97(c); OR			
		Appl	Applicant certifies pursuant to 37 CFR § 1.97(e) that:			
			each item of information contained in this Information			
			Disclosure Statement was cited in a communication from a			
			foreign patent office in a counterpart foreign application not			
			more than three months prior to the filing of this Statement;			
			OR			
			no item of information contained in this Information			
			Disclosure Statement was cited in a communication from a			
			foreign patent office in a counterpart foreign application or,			
			to the knowledge of the person signing this certification			
			after making reasonable inquiry, was known to any			
			individual designated under 37 CFR § 1.56(c) more than			
			three months prior to the filing of this Statement.			
	OR					
	before the payment of the issue fee but after the mailing date of the first to occur of either:					
	[1]		al action under 37 CFR § 1.113; OR			
	[2]	a notice of allowance under 37 CFR § 1.311; AND				
	L~J	~ 1101				

**PATENT** 

	in accordance	e with the requirements of 37 CFR § 1.97(d):
	Applicant cer	rtifies pursuant to 37 CFR. § 1.97(e) that:
	each i	tem of information contained in this Information
	Disclo	osure Statement was cited in a communication from a
	foreig	n patent office in a counterpart foreign application not
	more	than three months prior to the filing of this Statement;
	OR	
	no ite	m of information contained in this Information
	Disclo	osure Statement was cited in a communication from a
	foreig	n patent office in a counterpart foreign application or,
	to the	knowledge of the person signing this certification
	after 1	making reasonable inquiry, was known to any
	indivi	dual designated under 37 CFR § 1.56(c) more than
	three	months prior to the filing of this Statement; AND
	Applicant her	reby respectfully petitions for the consideration of
	the accompar	nying Information Disclosure Statement under 37 CFR
	§ 1.97(d)(2);	AND
	Applicant sub	omits the petition fee of \$180 as set forth in 37 CFR §
	1.17(p).	
☐ Each item of i	nformation co	ntained in this Information Disclosure Statement was
cited in a communication fro	m a foreign pa	tent office in a counterpart application, and the
communication was not rece	ived by any inc	dividual designated in 37 CFR § 1.56(c) more than
thirty days prior to the filing	of this Informa	ation Disclosure Statement. 37 CFR § 1.704(d).
Applicant sub	mits that no fe	e is required for the consideration of the
accompanying Information I	Disclosure State	ement.
Consideration of the	listed reference	es and favorable action are solicited.
		Respectfully submitted,
		Millard E. Sweatt, III, et al.
Dated: SEPTEMBER 20	2001	By: Martin S.C. Jen
-		Martin S.C. Loui, Reg. No.: 43,411
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		Fax.: (650) 494-1417

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Rev. 10/95  U.S. Department of Commerce Patent and Trademark Office		Application Number	09/925,120	09/925,120		
		Filing Date	August 8, 2001			
TRANSMITTAL FOR	RM	First Named Inventor	Millard E. Sweatt, III			
(to be used for all correspondence during pendency of filed application)		Group Art Unit Number	Not Yet Known			
		Examiner Name	Not Yet Known			
Total Number of Pages in This Submission	5**	Attorney Docket Number	22407-05390	22407-05390		
ENCL	OSURES	(check all that apply	<i>'</i> )			
Fee Transmittal Form (in duplicate)		Issue Fee Transr	<del></del>			
Check Enclosed		Letter to Chief Dr	aftsperson			
Return Receipt Postcard		Formal Drawing(s	•			
Response to Notice to File Missing Par	ts	1 —	) of Figure(s) [	1		
Assignment & Recordation Cover Shee		Appeal Communi			d	
Declaration		Interferences		••		
Power of Attorney		Appeal Communication to Group				
Application Data Sheet		(Appeal Notice, Brief, Reply Brief)				
☐ Information Disclosure Statement & PT	O-1449	Certified Copy of Priority Document(s)				
Copies of IDS Cited Referen		After Allowance Communication to Group				
Request for Corrected Filing Receipt		7. The first of th				
Request for Correction of Recorded As						
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Signature: Martin 5C. Lin						
Attorney/Reg. No.: Martin S.C. Loui, Re	1	Dated:	SEPTEM BER	26,2001		
	CERTIFIC	ATE OF MAILING				
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.						
Signature: Murtin SC. Voni						
Typed or Printed Name: Martin S.C. Loui Dated: SEPTEMBER 25,700						
Express Mail Mailing Number (optional):						

Sheet \_ 1\_ of \_1 **FORM PTO-1449** Attorney's Docket No. U.S. DEPARTMENT OF COMMERCE Serial No. (REV. 649)P 22407-05390 09/925,120 Patent and Trademark Office INFORMATION DISCLOSURE CITATION Applicant Millard E. Sweatt, III, et al. OCT 0 1 2001 Group Art Unit (Use several sheets if necessary) Filing Date August 8, 2001 Not Yet Known **U.S. PATENT DOCUMENTS** Examiner Initial **Document Number** Date Name Class Subclass Filing Date If **Appropriate** Α 6,233,389 5/15/01 Barton et al. 386 46 В 6,215,526 4/10/01 Barton et al. 348 473 FOREIGN PATENT DOCUMENTS **Document Number** Country Class Subclass Translation Yes OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) DATE CONSIDERED EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.

PTO-1449 REV: 02/01

Include copy of this form with next communication to applicant.